



PATENT APPLICATION
Docket No: 14591.10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hicks et al.

Serial No.: 09/931,392

Confirmation No.: 1575

Filed: August 16, 2001

For: BUCKLE LANYARD CONNECTOR
AND SYSTEM

Art Unit
3626

#13
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7-10-03

05/23/2003 WASFAW1 00000041 09931392

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Mail Stop IDS- FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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MAY 27 2003

GROUP 3600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Statement of Relevance of References Listed
Unaccompanied by English Translation
Under 37 C.F.R. § 1.98(a)(3)

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided.

French Patent No. 2 746 933: Spectacle chain or cord fastener – comprises supple spiral on each end of chain or cord which twists round any type of spectacle frame side-piece.

Abstract (Basic) FR 2 746 933: The fastener is designed to attach the ends of a chain or cord to the side-pieces of the spectacle frame. The spectacles can, then, hang round the wearer's neck when not being worn. The fastener consists of a supple spiral (1), attached by a connector (2) to each end of the cord or chain and wound round the spectacle frame side-piece.

The spiral can be made from a plastics material which can be over-moulded onto the ends of the cord or chain or made in one piece with it. In the event of a sharp involuntary pull on the spectacles the spirals will detach, avoiding damage to the spectacles or injury to the wearer.

ADVANTAGE: Safe and convenient to use, with reduced number of components in its manufacture.

Title Terms: SPECTACLE; CHAIN; CORD; FASTEN; COMPRISE; SUPPLE; SPIRAL; END; CHAIN; CORD; TWIST; ROUND; TYPE; SPECTABLE; FRAME

* * *

EPO Patent No. 0 058 772: Spectacle frame usable for sporting activities – has retractable elastic cords which pass around wearer's head.

Abstract (Basic) EP 58772 A: The pair of spectacles are suitable for every day use and also suitable for use during sporting activities. The side members (2) of the spectacle frame are hollow and conceal elastic cords (6) which are attached to the pins (10) fitted near the rims.

The outer end of each cord has a clasp (5) which enables the ends to be joined together. The cords thus form a loop which passes around the wearer's head and retains the spectacles during sporting activities. The clasps are pulled against the ends (4) of the side members, when the cords are retracted into the frame.

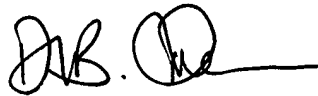
Title Terms: SPECTACLE; FRAME; SPORTS; ACTIVE; RETRACT;
ELASTIC; CORD; PASS; WEAR; HEAD

Submission Fee
Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a Credit Card Payment Form PTO-2038 in the amount of \$180.00 to cover the submission fee is enclosed to secure consideration of the references submitted with this Supplemental Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED this 20 day of May, 2003.

Respectfully submitted,

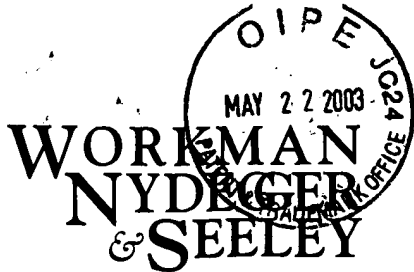


David B. Dellenbach
Attorney for Applicant
Registration No. 39,166



022913

PATENT TRADEMARK OFFICE



WORKMAN
NYDEGGER
& SEELEY
ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FACSIMILE (801) 328-1707

RICK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
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DAVID B. DELLENBACH
R. BURNS ISRAELSEN
DAVID R. TODD
L. DAVID GRIFFIN
ADRIAN J. LEE
FRASER D. ROY

CARL T. REED
R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
L. REX SEARS, PH.D.
ERIC M. KAMERATH
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RYAN D. BENSON
SARA D. JONES
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MATTHEW D. TODD
J. LAVAR OLDHAM
JOSEPH L. KRUPA
BRETT A. HANSEN
BRETT I. JOHNSON
MATTHEW A. BARLOW

† ADMITTED ONLY IN CALIFORNIA

PATENT APPLICATION 3626/7
Docket No: 14591.10

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MAILING ADDRESS:
P.O. BOX 45862
SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>
GENERAL E-MAIL: info@wnspatent.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/931,392) Art Unit
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TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- ___ Statement of relevance of selected cited references not in the English language which are not translated.
- ___ Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.

____ Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

X Form PTO-1449 listing THIRTY-FOUR (34) references submitted for consideration.

X Copies of THIRTY-FOUR (34) of the references listed on the Form PTO-1449.

____ English translations of N/A of the references listed on the Form PTO-1449 which are not in the English language.

____ Copies of the following documents from the prosecution of a previous, related application:

____ Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and

____ Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

I. ____ Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.

II. X Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:

____ Promptness Certification; or

X Credit Card Payment Form PTO-2038 in the amount of \$180.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

III. ____ After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

____ Promptness Certificate;

____ Petition for Consideration; and

____ Check No. in the amount of \$ _____ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

IV. ____ After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

____ Petition to Withdraw from Issue; and

____ Check No. _____ in the amount of \$ _____ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

X Any fee required in relation to filing of this letter or any documents transmitted therewith.

____ The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).

X The submission fee set forth in 37 C.F.R. § 1.17(p).

____ The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

Dated this 20 day of May, 2003.

Respectfully submitted,



David B. Dellenbach
Attorney for Applicant
Registration No. 39,166



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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop: IDS – FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20th day of May, 2003:

- Transmittal for Supplemental Information Disclosure Statement (3 pgs.)
- Supplemental Information Disclosure Statement (3 pgs.)
- Credit Card Payment Form PTO-2038 in the amount of \$180.00 for submission fee
- Form PTO-1449 citing THIRTY-FOUR references (3 pgs.)
- Copies of all THIRTY-FOUR (34) references
- Postcard

Respectfully submitted,


JoAnn Cook, Assistant to
David B. Dellenbach
Attorney for Applicant
Registration No. 39,166

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WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707